

# SPECIFICATION

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## **PHOSPHOR BLENDS FOR GENERATING WHITE LIGHT FROM NEAR-UV/BLEU LIGHT- EMITTING DEVICES**

### Cross Reference To Related Applications

This patent application is related to application having serial number \_\_\_\_\_, titled "Yellow Light-Emitting Halophosphate Phosphors And Light Sources Incorporating the Same," filed on \_\_\_\_\_.

### Federal Research Statement

This invention was first conceived or reduced to practice in the performance of work under contract 70NANB8H4022 with the United States National Institute of Standards and Technology (NIST). The United States of America may have certain rights to this invention.

### Background of Invention

[0001] This invention relates to blends of phosphors that convert electromagnetic radiation in the near-ultraviolet ("near-UV")-to-blue wavelength range to white light. More particularly, this invention relates to phosphor blends that convert electromagnetic radiation emitted by near-UV/blue light-emitting devices to white light. This invention also relates to light sources using such phosphor blends.

[0002]

A phosphor is a luminescent material that absorbs radiation energy in a portion of the electromagnetic spectrum and emits energy in another portion of the electromagnetic spectrum. Phosphors of one important class are crystalline inorganic

compounds of very high chemical purity and of controlled composition to which small quantities of other elements (called "activators") have been added to convert them into efficient fluorescent materials. With the right combination of activators and host inorganic compounds, the color of the emission can be controlled. Most useful and well-known phosphors emit radiation in the visible portion of the electromagnetic spectrum in response to excitation by electromagnetic radiation outside the visible range. Well-known phosphors have been used in mercury vapor discharge lamps to convert the ultraviolet ("UV") radiation emitted by the excited mercury vapor to visible light. Other phosphors are capable of emitting visible light upon being excited by electrons (used in cathode ray tubes) or x rays (for example, scintillators in x-ray detection systems).

[0003] The efficiency of a lighting device that uses a phosphor increases as the difference between the wavelength of the exciting radiation and that of the emitted radiation narrows. Therefore, in the quest for improving efficiency of white light sources, effort has been dedicated to finding a source of stimulating radiation that has wavelengths longer than that of UV radiation and phosphors that respond to those wavelengths. Recent advances in light-emitting diode ("LED") technology have brought efficient LEDs emitting in the near UV-to-blue range. The term "LEDs" as used herein also includes laser diodes. The term "near UV" as used herein means UV radiation having wavelengths in the range from about 315 nm to about 410 nm. These LEDs emitting radiation in the near UV-to-blue range will be hereinafter called "UV/blue LEDs." As used herein, a UV/blue LED may emit radiation having wavelengths in the near UV range, in the blue light range, or in a broad range from near UV to blue. It would be an advance to the technology of lighting to provide a range of phosphors that can be stimulated by the radiation emitted from these UV/blue LEDs radiation sources to allow for a flexibility in the use of phosphors for generating various color LEDs. Such phosphors when combined with the emission from the UV/blue LEDs can provide efficient and long lasting lighting devices that consume little power.

[0004] Many near UV/blue LEDs based on combinations of nitrides of indium, aluminum, and gallium have recently appeared. For example, U.S. Patent 5,777,350 discloses LEDs comprising multiple layers of InGa and p- and n-type AlGaIn, which emit in the

wavelength range from about 380 nm to about 420 nm. Doping in the active layer of an LED can shift the peak emission from near UV to blue light wavelengths. A LED of the InGaN type emitting in the blue light wavelengths was combined with a coating of a yellow light-emitting yttrium aluminum garnet phosphor activated with cerium ("YAG:Ce") to produce white light and is disclosed in U.S. Patent 5,998,925. Similarly, U.S. Patent 6,066,861 discloses an yttrium aluminum garnet phosphor activated with terbium and/or cerium in which yttrium may be substituted with Ca and/or Sr, aluminum with Ga and/or Si, and oxygen with S, to be used as a component of a wavelength conversion layer for a blue light-emitting LED. YAG:Ce and its variations emit a broad-spectrum yellow light. Although a substantial portion of the need for white light devices may be filled by LED-based devices, the ability to combine a UV/blue LED with a phosphor has been limited because yttrium aluminum garnet phosphor and minor variations thereof have been the only known yellow light-emitting phosphors that are excitable by radiation in the blue range. This limitation has restricted, to some extent, the ability flexibly to design light sources having different color temperatures and achieving a high color rendering index ("CRI").

[0005] Therefore, there is a need to provide phosphor compositions that are excitable in the near UV-to-blue range and emit in the visible range such that they may be used flexibly to design light sources having tunable properties, such as color temperature and CRI.

## Summary of Invention

[0006] The present invention provides phosphor blends that are excitable by electromagnetic radiation having wavelengths in the near UV-to-blue range (from about 315 nm to about 480 nm) to emit efficiently a visible light in a range of wavelengths from about 490 nm to about 770 nm. A phosphor blend of the present invention comprises a mixture of at least two phosphors selected from the group consisting of (a)  $\text{Sr}_2\text{P}_2\text{O}_7:\text{Eu}^{2+},\text{Mn}^{2+}$  (hereinafter called "Sr pyrophosphate"); (b)  $(\text{Ca},\text{Sr},\text{Ba})_a(\text{PO}_4)_3(\text{F},\text{Cl},\text{OH}):\text{Eu}^{2+},\text{Mn}^{2+}$  wherein a is in a range from about 4.5 to and including 5 (hereinafter called "Ca halophosphate"); (c)  $3.5\text{MgO} \cdot 0.5\text{MgF}_2 \cdot \text{GeO}_2:\text{Mn}^{4+}$  (hereinafter called "MFG"); (d)  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}:\text{Eu}^{2+}$  (hereinafter called "SAE"); (e)  $(\text{Sr},\text{Ba},\text{Ca})_c(\text{PO}_4)_3(\text{Cl},\text{OH}):\text{Eu}^{2+}$  (hereinafter called "SECA"); (f) an

europium-activated aluminate phosphor selected from the group consisting of

$(\text{Ba,Ca,Sr})_2\text{MgAl}_{16}\text{O}_{27}:\text{Eu}^{2+}$ ,  $(\text{Ba,Ca,Sr})\text{MgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$ , and  $(\text{Ba,Ca,Sr})\text{Mg}_3\text{Al}_{14}\text{O}_{25}:\text{Eu}^{2+}$  (hereinafter called "BAM"); and (g) an europium and manganese co-activated aluminate phosphor selected from the group consisting of  $(\text{Ba,Ca,Sr})_2$

$\text{MgAl}_{16}\text{O}_{27}:\text{Eu}^{2+},\text{Mn}^{2+}$ ,  $(\text{Ba,Ca,Sr})\text{MgAl}_{10}\text{O}_{17}:\text{Eu}^{2+},\text{Mn}^{2+}$ , and  $(\text{Ba,Ca,Sr})\text{Mg}_3\text{Al}_{14}\text{O}_{25}:\text{Eu}^{2+},\text{Mn}^{2+}$  (hereinafter called "BaMn"). By mixing appropriate proportions of these phosphors, composites of emission spectra may be created that provide a wide range of colors in the visible spectrum. Especially, white light sources having different color temperatures ("CT") and CRIs may be created from a combination of near UV-to-blue LEDs and phosphor blends of the present invention.

[0007] Other aspects, advantages, and salient features of the present invention will become apparent from a perusal of the following detailed description, which, when taken in conjunction with the accompanying figures, discloses embodiments of the present invention.

## Brief Description of Drawings

[0008] Figure 1A shows the room-temperature excitation spectrum of the Sr pyrophosphate phosphor.

[0009] Figure 1B shows the room-temperature emission spectrum of the Sr pyrophosphate phosphor.

[0010] Figure 2A shows the room-temperature excitation spectra of two calcium halophosphate phosphors.

[0011] Figure 2B shows the room-temperature emission spectra of the calcium halophosphate phosphors of Figure 2A.

[0012] Figure 3A shows the room-temperature excitation spectrum of the MFG phosphor.

[0013] Figure 3B shows the room-temperature emission spectrum of the MFG phosphor.

[0014] Figure 4 shows the room-temperature excitation and emission spectra of the SAE phosphor.

[0015] Figure 5 shows the room-temperature excitation and emission spectra of the BAM phosphor.

[0016] Figure 6 shows the room-temperature emission spectrum of the BaMn phosphor. The room-temperature excitation spectrum of this phosphor is indistinguishable from that of the BAM phosphor.

[0017] Figure 7 shows the coordinates of the seven phosphors in the chromaticity diagram of the Commission Internationale de l'Eclairage ("CIE"). Blends of these phosphors may be produced to have coordinates close to the black body locus.

[0018] Figure 8 shows an embodiment of a white-light source using a phosphor blend of the present invention.

[0019] Figure 9 shows a summary of the simulation results.

## Detailed Description

[0020] The present invention provides convenient phosphor blends that are excitable by electromagnetic radiation having wavelengths in the near UV-to-blue range (from about 315 nm to about 480 nm) to emit efficiently visible light in the wavelength range from about 490 nm to about 770 nm. The wavelength of the exciting radiation is preferably in the range from about 315 to about 420 nm, more preferably from about 350 nm to about 400 nm. A suitable near UV/blue LED for use with a phosphor blend of the present invention is one having an InGaN active layer as disclosed in US Patent 5,777,350. Particularly useful are those LEDs having a GaN layer or having only a very small amount of In dopant in the GaN layer as these LEDs would emit radiation predominantly in the wavelength range less than about 400 nm. The phosphor blends of the present invention comprise at least two phosphors selected from the group

consisting of (a)  $\text{Sr}_2\text{P}_2\text{O}_7:\text{Eu}^{2+}, \text{Mn}^{2+}$ ; (b)  $(\text{Ca}, \text{Sr}, \text{Ba})_a(\text{PO}_4)_3(\text{F}, \text{Cl}, \text{OH}):\text{Eu}^{2+}, \text{Mn}^{2+}$  wherein a is in a range from about 4.5 to and including 5, preferably from about 4.7 to and including 5, and more preferably from about 4.9 and including 5; (c)  $3.5\text{MgO} \cdot 0.5\text{MgF}_2\text{GeO}_2:\text{Mn}^{4+}$ ; (d)  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}:\text{Eu}^{2+}$ ; (e)  $(\text{Sr}, \text{Ba}, \text{Ca})_5(\text{PO}_4)_3(\text{Cl}, \text{OH}):\text{Eu}^{2+}$ ; (f) an europium-activated aluminate phosphor selected from the group consisting of  $(\text{Ba}, \text{Ca}, \text{Sr})_2\text{MgAl}_{16}\text{O}_{27}:\text{Eu}^{2+}$ ,  $(\text{Ba}, \text{Ca}, \text{Sr})\text{MgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$

$^{2+}$ , and  $(\text{Ba,Ca,Sr})\text{Mg}_3\text{Al}_{14}\text{O}_{25}:\text{Eu}^{2+}$ ; and (g) an europium and manganese co-activated aluminate phosphor selected from the group consisting of  $(\text{Ba,Ca,Sr})_2\text{MgAl}_{16}\text{O}_{27}:\text{Eu}^{2+}, \text{Mn}^{2+}$ ,  $(\text{Ba,Ca,Sr})\text{MgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}, \text{Mn}^{2+}$ , and  $(\text{Ba,Ca,Sr})\text{Mg}_3\text{Al}_{14}\text{O}_{25}:\text{Eu}^{2+}, \text{Mn}^{2+}$  (hereinafter called "BaMn"). In the formulas of the phosphors, the elements following the colons represent the activators or sensitizers and are present at low atomic proportions compared to the metals, such as less than about 40 percent. A group of elements separated by commas in a set of parentheses represent those elements that are interchangeable at the same lattice site.

[0021] By mixing appropriate proportions of these phosphors, a composite emission spectrum of the blend may be created that provides a desired CT and CRI with maximum luminosity (as defined by the lumens per watt of radiation input energy or " $L/W_{\text{rad}}$ "). CT of a light source is the temperature of a blackbody radiator that has the same color. An incandescent light bulb has a color temperature of about 2000 K. Between 3000 K and 5000 K, a light source appears intensely white. Sunlight has a color temperature of about 5000 K. As the color temperature increases to between 8000 K and 10000 K, the light source appears blue. The CRI of a test light source is a rating that represents the degree of resultant color shift of a test object under that test light source in comparison with its color under a standard lamp of the same temperature. When the CRI value is 100, the test object appears to have the same color as when it is illuminated by the standard light source. Compositions of phosphor blends may be chosen to provide emission of white light having coordinates close to the black body locus of the CIE chromaticity diagram. In general lighting applications, it is desirable to provide light sources having a color temperature in the range of 4000 K to 6000 K and a CRI of greater than 80. Table 1 presents the result of a simulation of different blends of the phosphors. In this Table, the numerical value for each individual phosphor represents the proportion of the composite spectrum that the emission from the individual phosphor must contribute to result in the specified CT, CRI, luminosity, and distance from the black body locus; and it is not the weight fraction of the individual phosphor in the blend. The phosphor blend of this invention also is advantageously used with near-UV LEDs to produce less variation among the finished lamps.

[0022] In addition to white light, light of other colors may be generated from other selected blends of the phosphors. Figure 7 shows the coordinates of the phosphors in the CIE chromaticity diagram. A tie line between any two points representing two phosphors gives the set of color coordinates of the blends of these two phosphors. Similarly, an area inside a polygon, the vertices of which represent the coordinates of the individual phosphors, gives the set of possible colors given by blends of these phosphors.

[0023] The phosphors may be made by any conventional solid state reaction. For example, appropriate amounts of oxides and/or salts of the desired elements are mixed together thoroughly. The amounts are chosen so to achieve the final desired composition of the phosphor. The mixture is fired at an appropriate high temperature, such as greater than 900 °C, to decompose the oxide or salts precursors to the desired compound. The firing may be done in an oxidizing or reducing atmosphere depending on the phosphor. The firing may be done in stages at two or more temperatures, each stage may be carried out in a different atmosphere.

[0024] Alternatively, an acidic solution of oxides and/or salts of the desired elements is prepared by dissolving such oxides and/or salts in a mineral or organic acid. A solution of ammonium hydroxide or an amine is added slowly into the acidic solution to precipitate compounds of the chosen elements until the precipitation is complete. Typically, this step is complete when the pH of the mixture of the solutions rises above 8. The precipitate is filtered, washed, and dried in air. The dried precipitate is fired as described above.

[0025] WHITE LIGHT-EMITTING DEVICE

[0026] Incorporation of a selected blend of the phosphors in a device comprising a LED emitting near UV-to-blue light in the wavelength range from about 315 nm to about 480 nm should provide a white light source that uses electrical energy efficiently. The white light source may be fabricated to provide a point source device by using one near UV/blue LED or a large-area lighting device by using a plurality of near UV/blue LEDs.

[0027] In one embodiment of the present invention as shown in Figure 8, a LED 100 emitting near UV/blue light in the range of about 315 nm to about 480 nm, preferably from about 350 nm to about 420 nm, more preferably from about 350 nm to about 400 nm is mounted in a cup 120 having a reflective surface 140 adjacent LED 100. Near UV/blue LEDs suitable for white light-emitting devices are GaN or In-doped GaN semiconductor-based LEDs such as those of U.S. Patent 5,777,350 mentioned above, which is incorporated herein by reference. Other near UV/blue LEDs also may be used, such as LEDs based on GaN semiconductor doped with various metals to provide a large band gap. Electrical leads 150 and 152 are provided to supply electrical power to the LED. A transparent casting 160 comprising an epoxy or a silicone 180 in which there are dispersed substantially uniformly particles 200 of a phosphor blend of the present invention. Then a molded seal 220 of a transparent material, such as an epoxy or a silicone, is formed around the assembly of LED and phosphor casting to provide a hermetic seal thereto. Alternatively, the phosphor blend mixed with a binder may be applied as a coating over the LED surface, and a transparent casting is formed over the entire LED/phosphor combination to provide a hermetic seal. Other transparent polymers or materials also may be used. The composition of the InGaN active layer of the LED and the quantity of the phosphor applied in the casting may be chosen such that a portion of the blue light emitted by the LED that is not absorbed by the phosphor and the broad-spectrum light emitted by the phosphor are combined to provide a white light source 10 of a desired color temperature and CRI. Alternatively, when the light emitted by the active layer of the LED is deficient in the blue light range, the quantity of a blue light emitting-phosphor, such as SECA or BAM, may be increased to provide adequate blend for the different color components.

[0028] Figure 7 shows that Ca halophosphate and Sr pyrophosphate phosphors would by themselves provide a white light very close to the black body locus and are substantially within the region of color coordinates defined as white light by the Society of Automotive Engineers. Other phosphor blends generating white light may be obtained from mixtures of BaMn/SAE/MFG or BaMn/SECA/BAM/MFG phosphors.

[0029] A large-area white light source for general illumination may be produced by disposing a plurality of blue LEDs on a flat reflective panel, providing appropriate



Variable	Mean	SD	Min	Max
Age	34.5	10.5	20	55
Gender	Male	Female		
Education	High school	College		
Marital status	Married	Single		
Occupation	Manager	Worker		
Income	Low	High		
Health status	Good	Poor		
Stress level	Low	High		
Life satisfaction	Low	High		
Work-life balance	Low	High		
Job satisfaction	Low	High		
Organizational commitment	Low	High		
Turnover intention	Low	High		
Employee engagement	Low	High		
Workplace well-being	Low	High		
Organizational citizenship behavior	Low	High		
Workplace deviance	Low	High		
Workplace safety	Low	High		
Workplace environment	Low	High		
Workplace culture	Low	High		
Workplace diversity	Low	High		
Workplace innovation	Low	High		
Workplace productivity	Low	High		
Workplace quality	Low	High		
Workplace performance	Low	High		
Workplace success	Low	High		
Workplace achievement	Low	High		
Workplace fulfillment	Low	High		
Workplace meaning	Low	High		
Workplace purpose	Low	High		
Workplace vision	Low	High		
Workplace mission	Low	High		
Workplace values	Low	High		
Workplace beliefs	Low	High		
Workplace attitudes	Low	High		
Workplace behaviors	Low	High		
Workplace actions	Low	High		
Workplace decisions	Low	High		
Workplace choices	Low	High		
Workplace preferences	Low	High		
Workplace interests	Low	High		
Workplace passions	Low	High		
Workplace dreams	Low	High		
Workplace hopes	Low	High		
Workplace wishes	Low	High		
Workplace desires	Low	High		
Workplace needs	Low	High		
Workplace wants	Low	High		
Workplace requirements	Low	High		
Workplace expectations	Low	High		
Workplace standards	Low	High		
Workplace goals	Low	High		
Workplace objectives	Low	High		
Workplace outcomes	Low	High		
Workplace results	Low	High		
Workplace achievements	Low	High		
Workplace successes	Low	High		
Workplace accomplishments	Low	High		
Workplace milestones	Low	High		
Workplace landmarks	Low	High		
Workplace events	Low	High		
Workplace occasions	Low	High		
Workplace moments	Low	High		
Workplace times	Low	High		
Workplace periods	Low	High		
Workplace intervals	Low	High		
Workplace durations	Low	High		
Workplace spans	Low	High		
Workplace ranges	Low	High		
Workplace scales	Low	High		
Workplace levels	Low	High		
Workplace degrees	Low	High		
Workplace quantities	Low	High		
Workplace amounts	Low	High		
Workplace numbers	Low	High		
Workplace figures	Low	High		
Workplace statistics	Low	High		
Workplace data	Low	High		
Workplace information	Low	High		
Workplace knowledge	Low	High		
Workplace wisdom	Low	High		
Workplace understanding	Low	High		
Workplace awareness	Low	High		
Workplace insight	Low	High		
Workplace perception	Low	High		
Workplace cognition	Low	High		
Workplace thought	Low	High		
Workplace mind	Low	High		
Workplace brain	Low	High		
Workplace intellect	Low	High		
Workplace intelligence	Low	High		
Workplace logic	Low	High		
Workplace reason	Low	High		
Workplace sense	Low	High		
Workplace feeling	Low	High		
Workplace emotion	Low	High		
Workplace sentiment	Low	High		
Workplace mood	Low	High		
Workplace temperament	Low	High		
Workplace character	Low	High		
Workplace personality	Low	High		

[0030] While various embodiments are described herein, it will be appreciated from the specification that various combinations of elements, variations, equivalents, or improvements therein may be made by those skilled in the art, and are still within the scope of the invention as defined in the appended claims.